

STATE OF CALIFORNIA - THE RESOURCES AGENCY
DEPARTMENT OF FISH AND GAME
OFFICE OF SPILL PREVENTION AND RESPONSE

**APPLICATION FOR CERTIFICATE OF FINANCIAL RESPONSIBILITY
FOR MARINE FACILITIES**

(***WARNING: For security purposes all ZIP files transmitted to DFG/OSPR via e-mail will be returned undeliverable***)

(Please type or print clearly in English when completing this application). Refer to the instructions on Pages 9 and 10 before completing this form.

SECTION A. GENERAL INFORMATION

1. Legal name of applicant.

2. Mailing address of applicant.

E-mail address:

3. Address of principal place of business of applicant if different from 2 above.

4. Type of business entity (e.g., corporation, LLC, partnership, sole proprietor, etc.).

5. Trade name (if any), dba, or other name generally known to the public.

6. If applicant is incorporated, indicate the following:

Date of incorporation _____

State of incorporation _____

7. If applicant is a partnership, state the name of each general partner.
8. Name, title, and telephone number and facsimile number of contact person.
9. If applicant is a subsidiary or is not wholly owned, provide the following information:
 - a. Name and address of parent corporation/owning entities.
 - b. Date and state of incorporation of parent corporation/owning entities.
Date _____ State _____
10. Has applicant or parent corporation/owning entities ever been named as debtor in a voluntary or involuntary proceeding under Title 11 (Bankruptcy) U.S. Code or similar non-U.S. statute?

____ Yes _____ No
(If yes, please explain in a separate document)
11. Name and address of person to whom the certificate(s) should be sent.

SECTION B. GENERAL DESCRIPTION OF THE FACILITY OR FACILITIES

1. Type of facility or facilities (Marine Terminal, Pipeline, Platform, Small Marine Fueling Facility, or other type of facility). If application applies to more than one facility, list the types of facilities to which the application applies.
2. Name and location of facility or facilities to which the application applies.
3. Name and address of lessor if the facility is leased or located on leased land.

SECTION C. SPECIFIC DESCRIPTION OF FACILITY OR FACILITIES

1. **MARINE TERMINAL(S)**

- a. For each terminal, fill out the pipeline information in 2. below, and provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d)(1)-(2)):

Reasonable Worst Case Spill volume: _____ (barrels)

2. **PIPELINE(S)** (To be completed by pipeline owners or operators as well as owners or operators of offshore platforms and marine terminals.)

- a. Pipeline
Number or
Designation

(Pipeline(s) - Continued)

- b. For each pipeline, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d)(1)-(2)):

Reasonable Worst Case Spill volume: _____ (barrels)

3. **SMALL MARINE FUELING FACILITY(S)** (For mobile transfer units, use the "Application for Certificate of Financial Responsibility for Mobile Transfer Units", FG OSPR 1946 (11/05)). For each small marine fueling facility indicate:

- a. Type of vessels served (e.g., recreational/commercial/combination).
- b. During the past twelve-month period, approximately what percent of the craft serviced by this facility were greater than 20 meters in length?

_____ %

- c. Types of fuel or oil products handled.
- d. Annual throughput volume of over-the-water transfers (in gallons).

- e. Indicate the capacity in each storage tank at the facility:

Capacity (gallons)

- f. For each small marine fueling facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.03(d)(1)-(2)):

Reasonable Worst Case Spill volume: _____ (barrels)

4. **OFFSHORE FACILITY** (If the offshore facility is located in California marine waters, complete this Section and Section C (2) of this application. If the offshore facility is in Federal waters complete Section C (2)).

- a. For each offshore facility indicate type of facility (e.g., production platform, drilling unit, satellite well, production pier, artificial island, etc.):
- b. Is the owner or operator of the facility currently authorized to engage in drilling operations under a permit issued by the California State Lands Commission or Division of Oil and Gas and Geothermal?

___ Yes ___ No

If yes, provide the following:

Issue Date _____ Expiration Date _____

- c. If a production facility, provide maximum daily production rate, in barrels, of gross production and net oil.

Gross production _____ Net Oil _____

- d. Rate of transfer, in barrels per hour, into pipeline(s) connected to facilities located on-shore.

Gross production _____ Net Oil _____

- e. Emergency reaction time (in minutes):

Amount of time required discovering a release of oil and complete emergency shut-off of operations to stop discharge of oil into marine waters. (Indicate both automatic and manual emergency shut-down times.)

Discovery time: _____

Automatic shut-off time: _____ Manual shut-off time: _____

(Offshore Facility - Continued)

- f. Type(s) and quantity of oil and petroleum products stored on the facility:

<u>Type</u>	<u>Quantity</u>
_____	_____
_____	_____
_____	_____

- g. Enter the number of oil wells as follows:

Total number of oil wells _____

Number of free-flowing oil wells _____

Total number of oil wells currently in production _____

- h. For each offshore facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d) (1)-(3) :

Reasonable Worst Case Spill volume: _____ (barrels)

5. **OTHER TYPE OF MARINE FACILITY** (This section should be used to describe facilities such as production facilities, dehydration facilities, and other types of facilities located on or in California marine waters or which are located where a release of oil could impact marine waters.)

- a. Type of facility:

- b. If a production facility, provide the following information:

- (1) Daily Production (barrels)

Gross production: _____

Net Oil: _____

- (2) Number of wells producing oil: _____

- (3) Number of free flowing oil wells: _____

(Other Type of Marine Facility - Continued)

- c. For all types of facilities reported in this section provide separate documents describing the facility. These documents should include all relevant information which could affect reasonable worst case spill volume. This information should include transfer rates, line fill capacities, discover times, automatic and manual shut-down times, storage tank capacities and type of secondary containment. Also, provide a diagram of the facility showing location of flow lines, gathering lines, storage tanks, and any other physical characteristics of the facility.
- d. For each facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d)(1)-(3)).

Reasonable Worst Case Spill volume: _____ (barrels)

SECTION D. DECLARATION TO BE COMPLETED BY ALL APPLICANTS

I, _____ (print name), am the applicant, or I am a principal of the applicant, an authorized agent* or an official of the applicant, and have the authority to sign this application on behalf of the applicant. I DECLARE under penalty of perjury that I have examined this application, including any accompanying documents, schedules, and/or statements, and to the best of my knowledge and belief, it is true, correct, and complete. Furthermore, it is agreed that the applicant named in Section A of this application is the responsible party in the event of an oil spill. I execute this application in my capacity as applicant, principal of the applicant, official of the applicant or as the authorized agent as evidenced by the delegation of such authority provided below.

Date

Signature

Title or Official Capacity

* Note: If the Declaration is signed by an authorized agent of the applicant, the applicant or a principal of the applicant must sign the following Section E. Delegation of Authority:

SECTION E. DELEGATION OF AUTHORITY BY THE APPLICANT

(Must be completed by the applicant or principal of the applicant if the above declaration has been executed by an agent acting on behalf of the applicant)

I, _____ (name of the applicant) hereby

declare that _____ (name of authorized agent whose signature appears in Section D) is authorized to submit an application for a California Certificate of Financial Responsibility on the behalf of applicant.

Date

Signature

Title or Official Capacity

Instructions

1. **Owner or operator means**, in the case of a marine facility, any person who owns, has ownership interest in, or operates the marine facility.
2. Submit completed application by selecting one of the following methods:

U.S. Mail Service:
Department of Fish and Game
Office of Spill Prevention
and Response (OSPR)
P.O. Box 944209
Sacramento, CA 94244-2090

Courier Service:
Department of Fish and Game
Office of Spill Prevention
and Response (OSPR)
1700 K Street - Suite 250
Sacramento, CA 95814

Fax:
Department of Fish and Game
Office of Spill Prevention
and Response
Sacramento, CA 94244-2090
(916) 323-4727

E-mail:
KHANF@OSPR.DFG.CA.GOV
***WARNING: For security purposes
all ZIP files transmitted to DFG/OSPR
via e-mail will be returned undeliverable***

3. **Application Process:** Applications will be reviewed within 21 calendar days and, provided that adequate information was furnished, applicants will be notified within 21 calendar days of the amount of financial responsibility they will be required to demonstrate. Certificates will be issued following receipt of the acceptable evidence of financial responsibility.
4. Specific instructions relating to the application process.

Section A, General Information: must be completed by all applicants.

Section B, Description of the Facility: must be completed by all applicants. (If application applies to more than one facility, for each facility list the specific information required in Section C on separate sheets.)

Section C, Specific Description of the Facility or Facilities:

1. **Marine Terminal:** Must be completed by the owner or operator of those marine terminal(s) for which the applicant intends to obtain certificate(s) of financial responsibility. A marine terminal includes the pipeline(s) located in marine waters. The pipeline(s) are not considered a separate facility where the applicant is the owner or operator of both the terminal and the pipeline(s).
2. **Pipeline:** Must be completed by the owner or operator of those pipeline(s) and offshore platforms for which the applicant intends to obtain certificate(s) of financial responsibility. For the purpose of this application, the pipeline(s) that is (are) used to transfer oil from an offshore platform(s) located outside California marine waters to an on-shore facility(s) is (are) considered to be a separate facility(s).

3. Small Marine Fueling Facility: Must be completed by the owner or operator of the small marine fueling facility(s) unless the facility is a "small craft refueling dock". Certificates of Financial Responsibility are not required for "small craft refueling docks". However, each "small craft refueling dock" must be registered with the Department of Fish and Game's Office of Spill Prevention and Response. A "small craft refueling dock" is a waterside operation that dispenses non-persistent products (e.g., gasoline and diesel fuel) in bulk and/or small amounts of persistent lubrication oil in containers, primarily to small craft and does not have storage capacity exceeding 20,000 gallons in any single storage tank or tank compartment, and has a total useable tank storage capacity not exceeding 75,000 gallons. For these purposes, "small craft" is defined as a waterborne craft, other than a tanker or barge, which is less than 20 meters in length.
4. Offshore Facilities: Must be completed by the owner or operator of those offshore platform(s), production piers, artificial island, or any other type of offshore facility located in California marine waters for which the applicant intends to obtain certificate(s) of financial responsibility.
5. Other types of facilities: Must be completed by owners or operators of other types of facilities that are located on or in California marine waters or are located where a release of oil could impact marine waters.

Section D, Declaration, must be completed by all applicants.

Section E, Delegation of Authority, must be signed by the applicant or a principal of the applicant whenever an application is signed in Section D by an agent of the applicant.

1. Miscellaneous instructions:
 - a. If a question does not apply, answer "not applicable."
 - b. Applications which are incomplete will not be processed until receipt of additional information needed to complete processing.
 - c. If additional space is required, supplemental sheets may be attached.

Please contact the Financial Responsibility Unit at (916) 324-3413 if you have any questions.